

U²-Net: Going Deeper with Nested U-Structure for Salient Object Detection

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Abstract

In this paper, we design a simple yet powerful deep network architecture, U²-Net, for salient object detection (SOD). The architecture of our U²-Net is a two-level nested U-structure. The design has the following advantages: (1) it is able to capture more contextual information from different scales thanks to the mixture of receptive fields of different sizes in our proposed Residual U-blocks (RSU), (2) it increases the depth of the whole architecture without significantly increasing the computational cost because of the pooling operations used in these RSU blocks. This architecture enables us to train a deep network from scratch without using backbones from image classification tasks. We instantiate two models of the proposed architecture, U²-Net (176.3 MB, 30 FPS on GTX 1080Ti GPU) and U²-Net[†] (4.7 MB, 40 FPS), to facilitate the usage in different environments. Both models achieve competitive performance on six SOD datasets. The code is available: <https://github.com/NathanUA/U-2-Net>.

1. Introduction

Salient Object Detection (SOD) aims at segmenting the most visually attractive objects in an image. It is widely used in many fields, such as visual tracking and image segmentation. Recently, with the development of deep convolutional neural networks (CNNs), especially the rise of Fully Convolutional Networks (FCN) [24] in image segmentation, the salient object detection has been improved significantly. It is natural to ask, what is still missing? Let's take a step back and look at the remaining challenges.

There is a common pattern in the design of most SOD networks [18, 27, 41, 6], that is, they focus on making good use of deep features extracted by existing backbones, such as Alexnet [17], VGG [35], ResNet [12], ResNeXt [44], DenseNet [15], etc. However, these backbones are all originally designed for image classification. They extract features that are representative of semantic meaning rather than local details and global contrast information, which are essential to saliency detection. And they need to be pre-

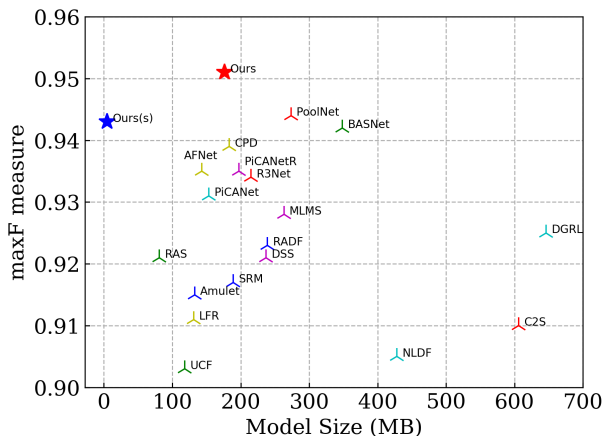


Figure 1. Comparison of model size and performance of our U²-Net with other state-of-the-art SOD models. The $maxF_{\beta}$ measure is computed on dataset ECSSD [46]. The red star denotes our U²-Net (Ours) (176.3 MB) and the blue star denotes our small version U²-Net[†] (Ours[†]) (4.7 MB).

trained on ImageNet [5] data which is data-inefficient especially if the target data follows a different distribution than ImageNet.

This leads to our first question: **can we design a new network for SOD, that allows training from scratch and achieves comparable or better performance than those based on existing pre-trained backbones?**

There are a few more issues on the network architectures for SOD. First, they are often overly complicated [58]. It is partially due to the additional feature aggregation modules that are added to the existing backbones to extract multi-level saliency features from these backbones. Secondly, the existing backbones usually achieve deeper architecture by sacrificing high resolution of feature maps [58]. To run these deep models with affordable memory and computational cost, the feature maps are down scaled to lower resolution at early stages. For instance, at the early layers of both ResNet and DenseNet [15], a convolution with stride of two followed by a maxpooling with stride of two are utilized to reduce the size of the feature maps to one fourth of

the input maps. However, high resolution also plays an important role in segmentation besides the deep architecture [21].

Hence, our follow-up question is: **can we go deeper while maintaining high resolution feature maps, at a low memory and computation cost?**

Our main contribution is a novel and simple network architecture, called **U²-Net**, that addresses the two questions above. First, U²-Net is a two-level nested U-structure that is designed for SOD without using any pre-trained backbones from image classification. It can be trained from scratch to achieve competitive performance. Second, the novel architecture allows the network to go deeper, attain high resolution, without significantly increasing the memory and computation cost. This is achieved by a nested U-structure: on the bottom level, we design a novel Residual U-block (RSU), which is able to extract intra-stage multi-scale features without degrading the feature map resolution; on the top level, there is a U-Net like structure, in which each stage is filled by a RSU block. The two-level configuration results in a nested U-structure (see Fig. 5). Our U²-Net (176.3 MB) achieves competitive performance against the state-of-the-art (SOTA) methods on six public datasets, and runs at real-time (30 FPS, with input size of $320 \times 320 \times 3$) on a 1080Ti GPU. To facilitate the usage of our design in computation and memory constrained environments, we provide a small version of our U²-Net, called **U²-Net[†]** (4.7 MB). The U²-Net[†] achieves competitive results against most of the SOTA models (see Fig. 1) at 40 FPS.

2. Related Works

In recent years, many deep salient object detection networks [22, 33] have been proposed. Compared with traditional methods [2] based on hand-crafted features like foreground consistency [49], hyperspectral information [20], superpixels' similarity [55], histograms [26, 25] and so on, deep salient object detection networks show more competitive performance.

Multi-level deep feature integration: Recent works [24, 45] have shown that features from multiple deep layers are able to generate better results [50]. Then, many strategies and methods for integrating and aggregating multi-level deep features are developed for SOD. Li *et al.* (MDF) [18] propose to feed an image patch around a target pixel to a network and then obtain a feature vector for describing the saliency of this pixel. Zhang *et al.* (Amulet) [53] predict saliency maps by aggregating multi-level features into different resolutions. Zhang *et al.* (UCF) [54] propose to reduce the checkerboard artifacts of deconvolution operators by introducing a reformulated dropout and a hybrid upsampling module. Luo *et al.* [27] design a saliency detection network (NLDF+) with a 4×5 grid architecture, in which deeper features are progressively integrated with shallower

features. Zhang *et al.* (LFR) [52] predict saliency maps by extracting features from both original input images and their reflection images with a sibling architecture. Hou *et al.* (DSS+) [13] propose to integrate multi-level features by introducing short connections from deep layers to shallow layers. Chen *et al.* (RAS) [4] predict and refine saliency maps by iteratively using the side output saliency of a backbone network as the feature attention guidance. Zhang *et al.* (BMPM) [50] propose to integrate features from shallow and deep layers by a controlled bi-directional passing strategy. Deng *et al.* (R³Net+) [6] alternately incorporate shallow and deep layers' features to refine the predicted saliency maps. Hu *et al.* (RADF+) [14] propose to detect salient objects by recurrently aggregating multi-level deep features. Wu *et al.* (MLMS) [42] improve the saliency detection accuracy by developing a novel Mutual Learning Module for better leveraging the correlation of boundaries and regions. Wu *et al.* [43] propose to use Cascaded Partial Decoder (CPD) framework for fast and accurate salient object detection. Deep methods in this category take advantage of the multi-level deep features extracted by backbone networks and greatly raise the bar of salient object detection against traditional methods.

Multi-scale feature extraction: As mentioned earlier, saliency detection requires both local and global information. A 3×3 filter is good for extracting local features at each layer. However, it is difficult to extract global information by simply enlarging the filter size because it will increase the number of parameters and computation costs dramatically. Many works pay more attention to extracting global context. Wang *et al.* (SRM) [40] adapt the pyramid pooling module [57] to capture global context and propose a multi-stage refinement mechanism for saliency maps refinement. Zhang *et al.* (PAGRN) [56] develop a spatial and a channel-wise attention module to obtain the global information of each layer and propose a progressive attention guidance mechanism to refine the saliency maps. Wang *et al.* (DGRL) [41] develop an inception-like [36] contextual weighting module to localize salient objects globally and then use a boundary refinement module to refine the saliency map locally. Liu *et al.* (PiCANet) [23] recurrently capture the local and global pixel-wise contextual attention and predict the saliency map by incorporating it with a U-Net architecture. Zhang *et al.* (CapSal) [51] design a local and global perception module to extract both local and global information from features extracted by backbone network. Zeng *et al.* (MSWS) [48] design an attention module to predict the spatial distribution of foreground objects over image regions meanwhile aggregate their features. Feng *et al.* (AFNet) [9] develop a global perception module and attentive feedback modules to better explore the structure of salient objects. Qin *et al.* (BASNet) [33] propose a predict-refine model by stacking two differently configured U-Nets

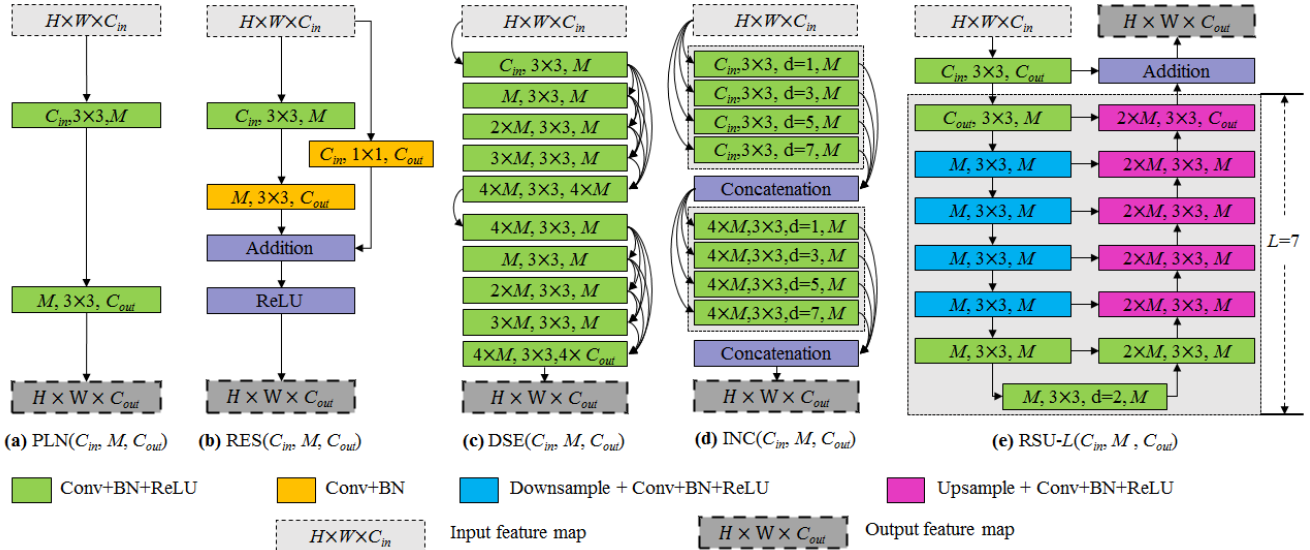


Figure 2. Illustration of existing convolution blocks and our proposed residual U-block RSU: (a) Plain convolution block PLN, (b) Residual-like block RES, (c) Inception-like block INC, (d) Dense-like block DSE and (e) Our residual U-block RSU.

sequentially and a Hybrid loss for boundary-aware salient object detection. Liu *et al.* (PoolNet) [22] develop encoder-decoder architecture for salient object detection by introducing a global guidance module for extraction of global localization features and a multi-scale feature aggregation module adapted from pyramid pooling module for fusing global and fine-level features. In these methods, many inspiring modules are proposed to extract multi-scale features from multi-level deep features extracted from existing backbones. Diversified receptive fields and richer multi-scale contextual features introduced by these novel modules significantly improve the performance of salient object detection models.

In summary, **multi-level deep feature integration** methods mainly focus on developing better multi-level feature aggregation strategies. On the other hand, methods in the category of **multi-scale feature extraction** target at designing new modules for extracting both local and global information from features obtained by backbone networks. As we can see, almost all of the aforementioned methods try to make better use of feature maps generated by the existing image classification backbones. Instead of developing and adding more complicated modules and strategies to use these backbones' features, we propose a novel and simple architecture, which directly extracts multi-scale features stage by stage, for salient object detection.

3. Proposed Method

First, we introduce the design of our proposed residual U-block and then describe the details of the nested U-architecture built with this block. The network supervision strategy and the training loss are described at the end of this section.

3.1. Residual U-blocks

Both local and global contextual information are very important for salient object detection and other segmentation tasks. In modern CNN designs, such as VGG, ResNet, DenseNet and so on, small convolutional filters with size of 1×1 or 3×3 are the most frequently used components for feature extraction. They are in favor since they require less storage space and are computationally efficient. Figures 2(a)-(c) illustrates typical existing convolution blocks with small receptive fields. The output feature maps of shallow layers only contain local features because the receptive field of 1×1 or 3×3 filters are too small to capture global information. To achieve more global information at high resolution feature maps from shallow layers, the most direct idea is to enlarge the receptive field. Fig. 2 (d) shows an inception like block [50], which tries to extract both local and non-local features by enlarging the receptive fields using dilated convolutions [3]. However, conducting multiple dilated convolutions on the input feature map (especially in the early stage) with original resolution requires too much computation and memory resources. To decrease the computation costs, PoolNet [22] adapt the parallel configura-

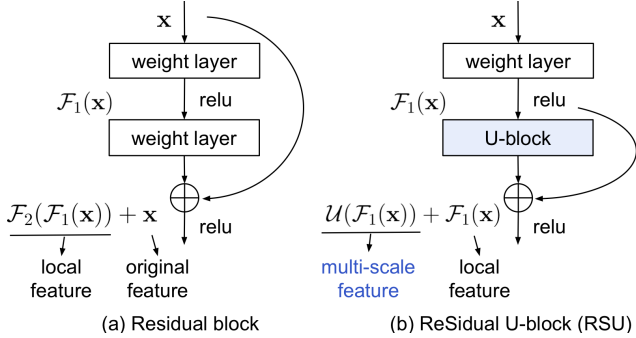


Figure 3. Comparison of the residual block and our RSU.

tion from pyramid pooling modules (PPM) [57], which uses small kernel filters on the downsampled feature maps other than the dilated convolutions on the original size feature maps. But fusion of different scale features by direct upsampling and concatenation (or addition) may lead to degradation of high resolution features.

Inspired by U-Net [34], we propose a novel **ReSidual U-block**, **RSU**, to capture intra-stage multi-scale features. The structure of $RSU-L(C_{in}, M, C_{out})$ is shown in Fig. 2(e), where L is the number of layers in the encoder, C_{in} , C_{out} denote input and output channels, and M denotes the number of channels in the internal layers of RSU. Hence, our RSU mainly consists of three components:

(i) an input convolution layer, which transforms the input feature map \mathbf{x} ($H \times W \times C_{in}$) to an intermediate map $\mathcal{F}_1(\mathbf{x})$ with channel of C_{out} . This is a plain convolutional layer for local feature extraction.

(ii) a U-Net like symmetric encoder-decoder structure with height of L which takes the intermediate feature map $\mathcal{F}_1(\mathbf{x})$ as input and learns to extract and encode the multi-scale contextual information $\mathcal{U}(\mathcal{F}_1(\mathbf{x}))$. \mathcal{U} represents the U-Net like structure as shown in Fig. 2(e). Larger L leads to deeper residual U-block (RSU), more pooling operations, larger range of receptive fields and richer local and global features. Configuring this parameter enables extraction of multi-scale features from input feature maps with arbitrary spatial resolutions. The multi-scale features are extracted from gradually downsampled feature maps and encoded into high resolution feature maps by progressive upsampling, concatenation and convolution. This process mitigates the loss of fine details caused by direct upsampling with large scales.

(iii) a residual connection which fuses local features and the multi-scale features by the summation: $\mathcal{F}_1(\mathbf{x}) + \mathcal{U}(\mathcal{F}_1(\mathbf{x}))$.

To better illustrate the intuition behind our design, we compare our residual U-block (RSU) with the original residual block [12] in Fig. 3. The operation in the residual block can be summarized as $\mathcal{H}(\mathbf{x}) = \mathcal{F}_2(\mathcal{F}_1(\mathbf{x})) + \mathbf{x}$, where $\mathcal{H}(x)$ denotes the desired mapping of the input features \mathbf{x} ; $\mathcal{F}_2, \mathcal{F}_1$ stand for the weight layers, which are convolution

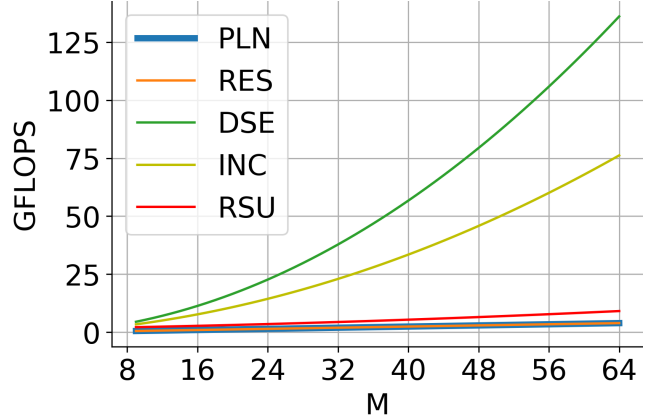


Figure 4. Computation costs (GFLOPS Giga Floating Point Operations) of different blocks shown in Fig. 2: the computation costs are calculated based on transferring an input feature map with dimension $320 \times 320 \times 3$ to a $320 \times 320 \times 64$ output feature map. “PLN”, “RES”, “DSE”, “INC” and “RSU” denote plain convolution block, residual block, dense block, inception block and our residual U-block respectively.

operations in this setting. The main design difference between RSU and residual block is that RSU replaces the plain, single-stream convolution with a U-Net like structure, and replace the original feature with the local feature transformed by a weight layer: $\mathcal{H}_{RSU}(\mathbf{x}) = \mathcal{U}(\mathcal{F}_1(\mathbf{x})) + \mathcal{F}_1(\mathbf{x})$, where \mathcal{U} represents the multi-layer U-structure illustrated in Fig. 2(e). This design change empowers the network to extract features from multiple scales directly from each residual block. More notably, the computation overhead due to the U-structure is small, since most operations are applied on the downsampled feature maps. This is illustrated in Fig. 4, where we show the computation cost comparison between RSU and other feature extraction modules in Fig. 2 (a)-(d). The FLOPs of dense block (DSE), inception block (INC) and RSU all grow quadratically with the number of internal channel M . But RSU has a much smaller coefficient on the quadratic term, leading to an improved efficiency. Its computational overhead compared with plain convolution (PLN) and residual block (RES) blocks, which are both linear w.r.t. M , is not significant.

3.2. Architecture of U²-Net

Stacking multiple U-Net-like structures for different tasks has been explored for a while. , e.g. stacked hourglass network [31], DocUNet [28], CU-Net [38] for pose estimation, etc. These methods usually stack U-Net-like structures sequentially to build cascaded models and can be summarized as “(U \times n-Net)”, where n is the number of repeated U-Net modules. The issue is that the computation and the memory costs get magnified by n .

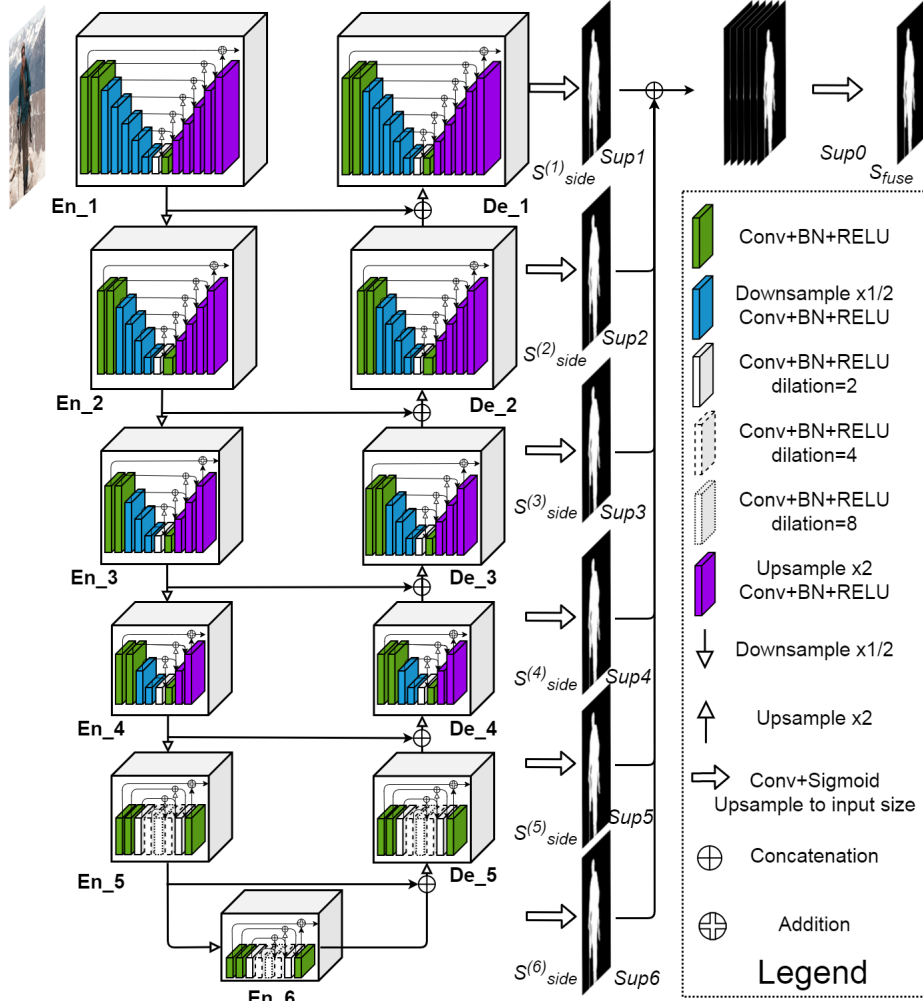


Figure 5. Illustration of our proposed U^2 -Net architecture. The main architecture is a U-Net like Encoder-Decoder, where each stage consists of our newly proposed residual U-block (RSU). For example, **En_1** is based on our RSU block shown in Fig. 2(e). Detailed configuration of RSU block of each stage is given in the last two rows of Table 1.

In this paper, we propose a different formulation, U^n -Net, of stacking U-structure for salient object detection. Our exponential notation refers to nested U-structure rather than cascaded stacking. Theoretically, the exponent n can be set as an arbitrary positive integer to achieve single-level or multi-level nested U-structure. But architectures with too many nested levels will be too complicated to be implemented and employed in real applications.

Here, we set n as 2 to build our U^2 -Net. Our U^2 -Net is a two-level nested U-structure shown in Fig. 5. Its top level is a big U-structure consists of 11 stages (cubes in Fig. 5). Each stage is filled by a well configured residual U-block (RSU) (bottom level U-structure). Hence, the nested U-structure enables the extraction of intra-stage multi-scale features and aggregation of inter-stage multi-level features

more efficiently.

As illustrated in Fig.5, the U^2 -Net mainly consists of three parts: (1) a six stages encoder, (2) a five stages decoder and (3) a saliency map fusion module attached with the decoder stages and the last encoder stage:

(i) In encoder stages **En_1**, **En_2**, **En_3** and **En_4**, we use residual U-blocks RSU-7, RSU-6, RSU-5 and RSU-4, respectively. As mentioned before, “7”, “6”, “5” and “4” denote the heights (L) of RSU blocks. The L is usually configured according to the spatial resolution of the input feature maps. For feature maps with large height and width, we use greater L to capture more large scale information. The resolution of feature maps in **En_5** and **En_6** are relatively low, further downsampling of these feature maps leads to loss of useful context. Hence, in both **En_5** and **En_6** stages, RSU-

Table 1. Detailed configurations of different architectures used in ablation study. “PLN”, “RES”, “DSE”, “INC”, “PPM” and “RSU” denote plain convolution block, residual block, dense block, inception block, Pyramid Pooling Module and our residual U-block respectively. “NIV U²-Net” denotes U-Net with its each stage replaced by a naive U-Net block. “I”, “M” and “O” indicate the number of input channels (C_{in}), middle channels and output channels (C_{out}) of each block. “En_{*i*}” and “De_{*j*}” denote the encoder and decoder stages respectively. The number “*L*” in “NIV-*L*” and “RSU-*L*” denotes the height of the naive U-block and our residual U-block.

Architecture with different blocks	Stages										
	En_1	En_2	En_3	En_4	En_5	En_6	De_5	De_4	De_3	De_2	De_1
PLN U-Net	I:3	I:64	I:128	I:256	I:512	I:512	I:1024	I:1024	I:512	I:256	I:128
	M:64	M:128	M:256	M:512	M:512	M:512	M:512	M:256	M:128	M:64	M:64
	O:64	O:128	O:256	O:512	O:512	O:512	O:512	O:256	O:128	O:64	O:64
RES U-Net	I:3	I:64	I:128	I:256	I:512	I:512	I:1024	I:1024	I:512	I:256	I:128
	M:64	M:128	M:256	M:512	M:512	M:512	M:512	M:256	M:128	M:64	M:64
	O:64	O:128	O:256	O:512	O:512	O:512	O:512	O:256	O:128	O:64	O:64
DSE U-Net	I:3	I:64	I:128	I:256	I:512	I:512	I:1024	I:1024	I:512	I:256	I:128
	M:32	M:32	M:64	M:128	M:128	M:128	M:128	M:64	M:32	M:16	M:16
	O:64	O:128	O:256	O:512	O:512	O:512	O:512	O:256	O:128	O:64	O:64
INC U-Net	I:3	I:64	I:128	I:256	I:512	I:512	I:1024	I:1024	I:512	I:256	I:128
	M:32	M:32	M:64	M:128	M:128	M:128	M:128	M:64	M:32	M:16	M:16
	O:64	O:128	O:256	O:512	O:512	O:512	O:512	O:256	O:128	O:64	O:64
PPM U-Net	I:3	I:64	I:128	I:256	I:512	I:512	I:1024	I:1024	I:512	I:256	I:128
	M:32	M:32	M:64	M:128	M:128	M:128	M:128	M:64	M:32	M:16	M:16
	O:64	O:128	O:256	O:512	O:512	O:512	O:512	O:256	O:128	O:64	O:64
NIV U ² -Net	NIV-7	NIV-6	NIV-5	NIV-4	NIV-4F	NIV-4F	NIV-4F	NIV-4	NIV-5	NIV-6	NIV-7
	I:3	I:64	I:128	I:256	I:512	I:512	I:1024	I:1024	I:512	I:256	I:128
	M:32	M:32	M:64	M:128	M:256	M:256	M:256	M:128	M:64	M:32	M:16
	O:64	O:128	O:256	O:512	O:512	O:512	O:512	O:256	O:128	O:64	O:64
U ² -Net (Ours)	RSU-7	RSU-6	RSU-5	RSU-4	RSU-4F	RSU-4F	RSU-4F	RSU-4	RSU-5	RSU-6	RSU-7
	I:3	I:64	I:128	I:256	I:512	I:512	I:1024	I:1024	I:512	I:256	I:128
	M:32	M:32	M:64	M:128	M:256	M:256	M:256	M:128	M:64	M:32	M:16
	O:64	O:128	O:256	O:512	O:512)	O:512)	O:512	O:256	O:128	O:64	O:64
U ² -Net [†] (Ours [†])	RSU-7	RSU-6	RSU-5	RSU-4	RSU-4F	RSU-4F	RSU-4F	RSU-4	RSU-5	RSU-6	RSU-7
	I:3	I:64	I:64	I:64	I:64	I:64	I:128	I:128	I:128	I:128	I:128
	M:16	M:16	M:16	M:16	M:16	M:16	M:16	M:16	M:16	M:16	M:16
	O:64	O:64	O:64	O:64	O:64	O:64	O:64	O:64	O:64	O:64	O:64

4F are used, where “F” means that the RSU is a dilated version, in which we replace the pooling and upsampling operations with dilated convolutions (see Fig. 5). That means all of intermediate feature maps of RSU-4F have the same resolution with its input feature maps.

(ii) The decoder stages have similar structures to their symmetrical encoder stages with respect to **En_6**. In **De_5**, we also use the dilated version residual U-block RSU-4F which is similar to that used in the encoder stages **En_5** and **En_6**. Each decoder stage takes the concatenation of the upsampled feature maps from its previous stage and those from its symmetrical encoder stage as the input, see Fig. 5.

(iii) The last part is the saliency map fusion module which is used to generate saliency probability maps. Similar to HED [45], our U²-Net first generates six side output saliency probability maps $\mathcal{S}_{side}^{(6)}$, $\mathcal{S}_{side}^{(5)}$, $\mathcal{S}_{side}^{(4)}$, $\mathcal{S}_{side}^{(3)}$, $\mathcal{S}_{side}^{(2)}$, $\mathcal{S}_{side}^{(1)}$ from stages **En_6**, **De_5**, **De_4**, **De_3**, **De_2** and **De_1** by a 3×3 convolution layer and a sigmoid function. Then, it upsamples these saliency maps to the input image size and fuses them with a concatenation operation followed by a 1×1 convolution layer and a sigmoid function to generate

the final saliency probability map \mathcal{S}_{fuse} (see bottom right of Fig. 5).

In summary, the design of our U²-Net allows having deep architecture with rich multi-scale features and relatively low computation and memory costs. In addition, since our U²-Net architecture is only built upon our RSU blocks without using any pre-trained backbones adapted from image classification, it is flexible and easy to be adapted to different working environments with insignificant performance loss. In this paper, we provide two instances of our U²-Net by using different configurations of filter numbers: a normal version **U²-Net** (176.3 MB) and a relatively smaller version **U²-Net[†]** (4.7 MB). Detailed configurations are presented in the last two rows of Table 1.

3.3. Supervision

In the training process, we use deep supervision similar to HED [45]. Its effectiveness has been proven in HED and DSS. Our training loss is defined as:

$$\mathcal{L} = \sum_{m=1}^M w_{side}^{(m)} \ell_{side}^{(m)} + w_{fuse} \ell_{fuse} \quad (1)$$

where $\ell_{side}^{(m)}$ ($M = 6$, as the Sup1, Sup2, \dots , Sup6 in Fig. 5) is the loss of the side output saliency map $S_{side}^{(m)}$ and ℓ_{fuse} (Sup7 in Fig. 5) is the loss of the final fusion output saliency map S_{fuse} . $w_{side}^{(m)}$ and w_{fuse} are the weights of each loss term. For each term ℓ , we use the standard binary cross-entropy to calculate the loss:

$$\ell = - \sum_{(r,c)}^{(H,W)} [P_{G(r,c)} \log P_{S(r,c)} + (1 - P_{G(r,c)}) \log (1 - P_{S(r,c)})] \quad (2)$$

where (r, c) is the pixel coordinates and (H, W) is image size: height and width. $P_{G(r,c)}$ and $P_{S(r,c)}$ denote the pixel values of the ground truth and the predicted saliency probability map, respectively. The training process tries to minimize the overall loss \mathcal{L} of Eq. (1). In the testing process, we choose the fusion output ℓ_{fuse} as our final saliency map.

4. Experimental Results

4.1. Datasets

Training dataset: We train our network on **DUTS-TR**, which is a part of DUTS dataset [39]. **DUTS-TR** contains 10553 images in total. Currently, it is the largest and most frequently used training dataset for salient object detection. We augment this dataset by horizontal flipping to obtain 21106 training images offline.

Evaluation datasets: Six frequently used benchmark datasets are used to evaluate our method including: **DUT-OMRON** [47], **DUTS-TE** [39], **HKU-IS** [18], **ECSSD** [46], **PASCAL-S** [19], **SOD** [30]. **DUT-OMRON** includes 5168 images, most of which contain one or two structurally complex foreground objects. **DUTS** dataset consists of two parts: **DUTS-TR** and **DUTS-TE**. As mentioned above we use **DUTS-TR** for training. Hence, **DUTS-TE**, which contains 5019 images, is selected as one of our evaluation dataset. **HKU-IS** contains 4447 images with multiple foreground objects. **ECSSD** contains 1000 structurally complex images and many of them contain large foreground objects. **PASCAL-S** contains 850 images with complex foreground objects and cluttered background. **SOD** only contains 300 images. But it is very challenging. Because it was originally designed for image segmentation and many images are low contrast or contain complex foreground objects overlapping with the image boundary.

4.2. Evaluation Metrics

The outputs of the deep salient object methods are usually probability maps that have the same spatial resolution

with the input images. Each pixel of the predicted saliency maps has a value within the range of 0 and 1 (or [0, 255]). The ground truth are usually binary masks, in which each pixel is either 0 or 1 (or 0 and 255) where 0 indicates the background pixels and 1 indicates the foreground salient object pixels.

To comprehensively evaluate the quality of those probability maps against the ground truth, six measures including (1) Precision-Recall (PR) curves, (2) maximal F-measure ($maxF_\beta$) [1], (3) Mean Absolute Error (MAE) [23, 33, 22], (4) weighted F-measure (F_β^w) [29], (5) structure measure (S_m) [8] and (6) relaxed F-measure of boundary ($relaxF_\beta^b$) [33] are used:

(1) PR curve is plotted based on a set of precision-recall pairs. Given a predicted saliency probability map, its precision and recall scores are computed by comparing its thresholded binary mask against the ground truth mask. The precision and recall of a dataset are computed by averaging the precision and recall scores of those saliency maps. By varying the thresholds from 0 to 1, we can obtain a set of average precision-recall pairs of the dataset.

(2) F-measure F_β is used to comprehensively evaluate both precision and recall as:

$$F_\beta = \frac{(1+\beta^2) \times Precision \times Recall}{\beta^2 \times Precision + Recall}. \quad (3)$$

We set the β^2 to 0.3 and report the maximum F_β ($maxF_\beta$) for each dataset similar to previous works [1, 23, 50].

(3) MAE is the Mean Absolute Error which denotes the average per-pixel difference between a predicted saliency map and its ground truth mask. It is defined as:

$$MAE = \frac{1}{H \times W} \sum_{r=1}^H \sum_{c=1}^W |P(r, c) - G(r, c)| \quad (4)$$

where P and G are the probability map of the salient object detection and the corresponding ground truth respectively, (H, W) and (r, c) are the (height, width) and the pixel coordinates.

(4) weighted F-measure (F_β^w) [29] is utilized as a complementary measure to $maxF_\beta$ for overcoming the possible unfair comparison caused by ‘‘interpolation flaw, dependency flaw and equal-importance flaw’’ [23]. It is defined as:

$$F_\beta^w = (1 + \beta^2) \frac{Precision^w \cdot Recall^w}{\beta^2 \cdot Precision^w + Recall^w}. \quad (5)$$

(5) S-measure (S_m) is used to evaluate the structure similarity of the predicted non-binary saliency map and the ground truth. The S-measure is defined as the weighted sum of region-aware S_r and object-aware S_o structural similarity:

$$S = (1 - \alpha) S_r + \alpha S_o. \quad (6)$$

where α is usually set to 0.5.

(6) relax boundary F-measure $relaxF_{\beta}^b$ [7] is utilized to quantitatively evaluate boundaries’ quality of the predicted saliency maps [33]. Given a saliency probability map $P \in [0, 1]$, its binary mask P_{bw} is obtained by a simple thresholding operation (threshold is set to 0.5). Then, the $XOR(P_{bw}, P_{erd})$ operation is conducted to obtain its one pixel wide boundary, where P_{erd} denotes the eroded binary mask [11] of P_{bw} . The boundaries of ground truth mask are obtained in the same way. The computation of relaxed boundary F-measure $relaxF_{\beta}^b$ is similar to equation (3). The difference is that $relaxPrecision^b$ and $relaxRecall^b$ other than $Precision$ and $Recall$ are used in equation (3). The definition of relaxed boundary precision ($relaxPrecision^b$) is the fraction of predicted boundary pixels within a range of ρ pixels from ground truth boundary pixels. The relaxed boundary recall ($relaxRecall^b$) is defined as the fraction of ground truth boundary pixels that are within ρ pixels of predicted boundary pixels. The slack parameter ρ is set to 3 as in the previous work [33]. Given a dataset, its average $relaxF_{\beta}^b$ of all predicted saliency maps is reported in this paper.

4.3. Implementation Details

In the training process, each image is first resized to 320×320 and randomly flipped vertically and cropped to 288×288 . We are not using any existing backbones in our network. Hence, we train our network from scratch and all of our convolutional layers are initialized by Xavier [10]. The loss weights $w_{side}^{(m)}$ and w_{fuse} are all set to 1. Adam optimizer [16] is used to train our network and its hyper parameters are set to default (initial learning rate $lr=1e-3$, $\beta_1=0.9$, $\beta_2=0.999$, $\epsilon=1e-8$, $weight_decay=0$). We train the network until the loss converges without using validation set which follows the previous methods [22, 23, 50]. After 600k iterations (with a batch size of 12), the training loss converges and the whole training process takes about 120 hours. During testing, the input images ($H \times W$) are resized to 320×320 and fed into the network to obtain the saliency maps. The predicted saliency maps with size of 320×320 are resized back to the original size of the input image ($H \times W$). Bilinear interpolation is used in both resizing processes. Our network is implemented based on Pytorch 0.4.0 [32]. Both training and testing are conducted on an eight-core, 16 threads PC with an AMD Ryzen 1800x 3.5 GHz CPU (32GB RAM) and a GTX 1080ti GPU (11GB memory). We will release our code later.

4.4. Ablation Study

To verify the effectiveness of our U²-Net, ablation studies are conducted on the following three aspects: i) basic blocks, ii) architectures and iii) backbones. All the ablation studies follow the same implementation setup.

Table 2. Results of ablation study on different blocks, architectures and backbones. “PLN”, “RES”, “DSE”, “INC”, “PPM” and “RSU” denote plain convolution block, residual block, dense block, inception block, pyramid pooling module and our residual U-block respectively. “NIV U²-Net” denotes U-Net with its each stage replaced by a naive U-Net block. The “Time (ms)” (ms: milliseconds) costs are computed by averaging the inference time costs of images in ECSSD dataset. Values with bold fonts indicate the best two performance.

Configuration	DUT-OMRON		ECSSD		Time (ms)
	$maxF_{\beta}$	MAE	$maxF_{\beta}$	MAE	
Baseline U-Net	0.725	0.082	0.896	0.066	14
PLN U-Net	0.782	0.062	0.928	0.043	16
RES U-Net	0.781	0.065	0.933	0.042	19
DSE U-Net	0.790	0.067	0.927	0.046	70
INC U-Net	0.777	0.069	0.921	0.047	57
PPM U-Net	0.792	0.062	0.928	0.049	105
Stacked HourglassNet [31]	0.756	0.073	0.905	0.059	103
CU-NET [37]	0.767	0.072	0.913	0.061	50
NIV U ² -Net	0.803	0.061	0.938	0.085	30
U ² -Net w/ VGG-16 backbone	0.808	0.063	0.942	0.038	23
U ² -Net w/ ResNet-50 backbone	0.813	0.058	0.937	0.041	41
(Ours) RSU U ² -Net	0.823	0.054	0.951	0.033	33
(Ours [†]) RSU U ² -Net [†]	0.813	0.060	0.943	0.041	25

4.4.1 Ablation on Blocks

In the blocks ablation, the goal is to validate the effectiveness of our newly designed residual U-blocks (RSUs). Specifically, we fix the outside Encoder-Decoder architecture of our U²-Net and replace its stages with other popular blocks including plain convolution blocks (PLN), residual-like blocks (RSE), dense-like blocks (DSE), inception-like blocks (INC) and pyramid pooling module (PPM) other than RSU block, as shown in Fig. 2 (a)-(d). Detailed configurations can be found in Table 1.

Table 2 shows the quantitative results of the ablation study. As we can see, the performance of baseline U-Net is the worst, while PLN U-Net, RES U-Net, DES U-Net, INC U-Net and PPM U-Net achieve better performance than the baseline U-Net. Because they are either deeper or have the capability of extracting multi-scale features. However, their performance is still inferior to both our full size U²-Net and small version U²-Net[†]. Particularly, our full size U²-Net improves the $maxF_{\beta}$ about 3.3% and 1.8%, and decreases the MAE over 12.9% and 21.4% against the second best model (in the blocks ablation study) on DUT-OMRON and ECSSD datasets, respectively. Furthermore, our U²-Net and U²-Net[†] increase the $maxF_{\beta}$ by 9.8% and 8.8% and decrease the MAE by 34.1% and 27.0%, which are significant improvements, on DUT-OMRON dataset against the baseline U-Net. On ECSSD dataset, although the $maxF_{\beta}$ improvements (5.5%, 4.7%) of our U²-Net and U²-Net[†] against the baseline U-Net is slightly less significant than that on DUT-OMRON, the improvements of MAE are

much greater (50.0%, 38.0%). Therefore, we believe that our newly designed residual U-block RSU is better than others in this salient object detection task. Besides, there is no significant time costs increasing of our residual U-block (RSU) based U²-Net architectures.

4.4.2 Ablation on Architectures

As we mentioned above, previous methods usually use cascaded ways to stack multiple similar structures for building more expressive models. One of the intuitions behind this idea is that multiple similar structures are able to refine the results gradually while reducing overfitting. Stacked HourglassNet [31] and CU-Net [37] are two representative models in this category. Therefore, we adapted the stacked HourglassNet and CU-Net to compare the performance between the cascaded architectures and our nested architectures. As shown in Table. 2, both our full size U²-Net and small size model U²-Net[†] outperform these two cascaded models. It is worth noting the both stacked HourglassNet and CU-Net utilizes improved U-Net-like modules as their stacking sub-models. To further demonstrate the effectiveness of our nested architecture, we also illustrate the performance of an U²-Net based on naive U-blocks (NIV) other than our newly proposed residual U-blocks. We can see that the NIV U²-Net still achieves better performance than these two cascaded models. In addition, the nested architectures are faster than the cascaded ones. In summary, our nested architecture is able to achieve better performance than the cascaded architecture both in terms of accuracy and speed.

4.4.3 Ablation on Backbones

Different from the previous salient object detection models which use backbones (*e.g.* VGG, ResNet, etc.) as their encoders, our newly proposed U²-Net architecture is backbone free. To validate the backbone free design, we conduct ablation studies on replacing the encoder part of our full size U²-Net with different backbones: VGG16 and ResNet50. Practically, we adapt the backbones (VGG-16 and ResNet-50) by adding an extra stage after their last convolutional stages to achieve the same receptive fields with our original U²-Net architecture design. As shown in Table 2, the models using backbones and our RSUs as decoders achieve better performance than the previous ablations and comparable performance against our small size U²-Net. However, they are still inferior to our full size U²-Net. Therefore, we believe that our backbones free design is more competitive than backbones-based design in this salient object detection task.

4.5. Comparison with State-of-the-arts

We compare our models (full size U²-Net, 176.3 MB and small size U²-Net[†], 4.7 MB) with 20 state-of-the-art meth-

ods including one AlexNet based model: **MDF**; 10 VGG based models: **UCF, Amulet, NLDF, DSS, RAS, PAGRN, BMPM, PiCANet, MLMS, AFNet**; one DenseNet based model **MSWS**; one ResNeXt based model: **R³Net**; and seven ResNet based models: **CapSal, SRM, DGRL, PiCANetR, CPD, PoolNet, BASNet**. For fair comparison, we mainly use the salient object detection results provided by the authors. For the missing results on certain datasets of some methods, we run their released code with their trained models on their suggested environment settings.

4.5.1 Quantitative Comparison

Fig. 6 illustrates the precision-recall curves of our models (U²-Net, 176.3 MB and U²-Net[†], 4.7 MB) and typical state-of-the-art methods on the six datasets. The curves are consistent with the Table 3 and 4 which demonstrate the state-of-the-art performance of our U²-Net on DUT-OMRON, HKU-IS and ECSSD and competitive performance on other datasets. Table 3 and 4 compares five (six include the model size) evaluation metrics and the model size of our proposed method with others. As we can see, our U²-Net achieves the best performance on datasets DUT-OMRON, HKU-IS and ECSSD in terms of almost all of the five evaluation metrics. On DUTS-TE dataset our U²-Net achieves the second best overall performance, which is slightly inferior to PoolNet. On PASCAL-S, the performance of our U²-Net is slightly inferior to AFNet, CPD and PoolNet. It is worth noting that U²-Net achieves the second best performance in terms of the boundary quality evaluation metric $relaxF_{\beta}^b$. On SOD dataset, PoolNet performs the best and our U²-Net is the second best in terms of the overall performance.

Our U²-Net[†] is only 4.7 MB, which is currently the smallest model in the field of salient object detection. With much fewer number of parameters against other models, it still achieves surprisingly competitive performance. Although its performance is not as good as our full size U²-Net, its small size will facilitate its applications in many computation and memory constrained environments.

4.5.2 Qualitative Comparison:

To give an intuitive understanding of the promising performance of our models, we illustrate the sample results of our models and several other state-of-the-art methods in Fig. 7. As we can see, our U²-Net and U²-Net[†] are able to handle different types of targets and produce accurate salient object detection results.

The 1st and 2nd row of Fig. 7 show the results of small and large objects. As we can observe, our U²-Net and U²-Net[†] are able to produce accurate results on both small and large objects. Other models either prone to miss the small target or produce large object with poor accuracy. The 3rd row shows the results of target touching image borders. Our

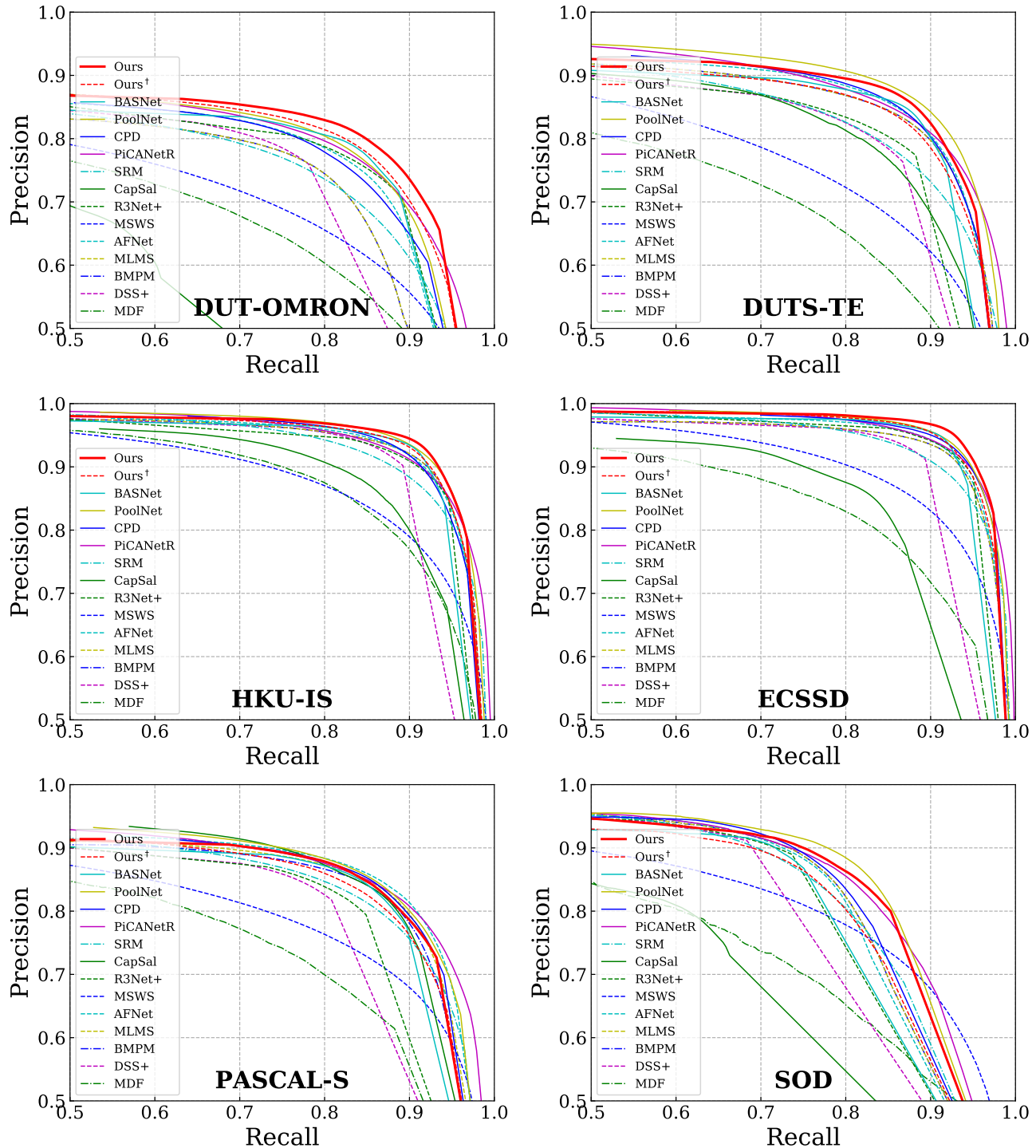


Figure 6. Precision-Recall curves of our models and other typical state-of-the-art models on six SOD datasets.

U²-Net correctly segments all the regions. Although U²-Net[†] erroneously segments the bottom right hole, it is still much better than other models. The 4th row demonstrates the performance of models in segmenting targets that con-

sists of both large and thin structures. As we can see, most of other models extract large regions well while missing the cable-wise thin structure except for AFNet (col (j)). The 5th row shows a tree with relatively clean background of blue

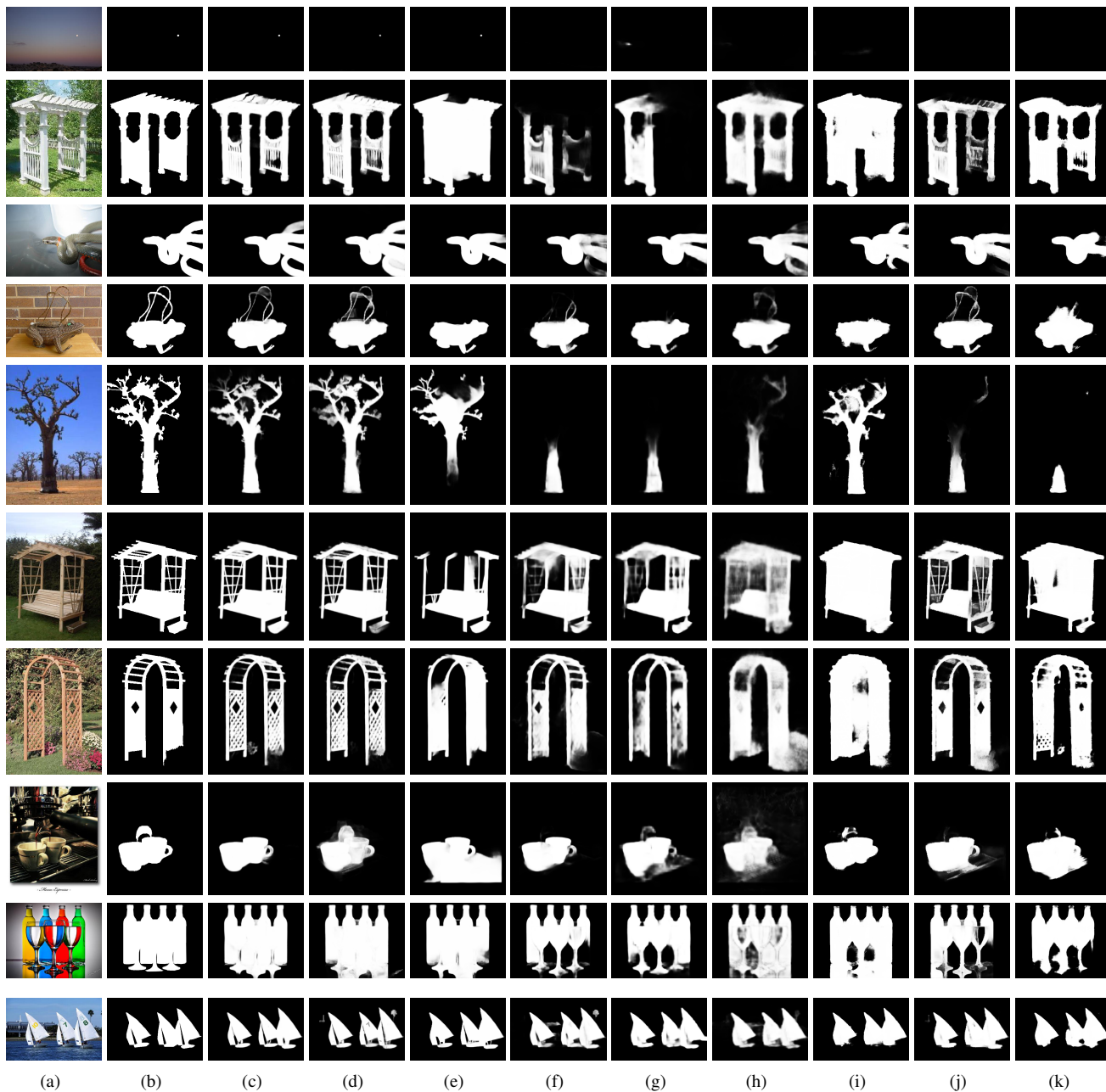


Figure 7. Qualitative comparison of the proposed method with seven other SOTA methods: (a) image, (b) GT, (c) Ours, (d) Ours[†], (e) BASNet, (f) PoolNet, (g) CPD, (h) PiCANetR, (i) R³Net+, (j) AFNet, (k) DSS+, where ‘+’ indicates the CRF post-processing.

details of the detected targets (see the gap region of the two pieces of sail of each sailboat). In summary, both our full size and small size models are able to handle various scenarios and produce high accuracy salient object detection results.

5. Conclusions

In this paper, we proposed a novel deep network: U²-Net, for salient object detection. The main architecture of our U²-Net is a two-level nested U-structure. The nested U-structure with our newly designed RSU blocks enables the network to capture richer local and global information from both shallow and deep layers regardless of the resolutions.

Compared with those SOD models built upon the existing backbones, our U²-Net is purely built on the proposed RSU blocks which makes it possible to be trained from scratch and configured to have different model size according to the target environment constraints. We provide a full size U²-Net (176.3 MB, 30 FPS) and a smaller size version U²-Net[†] (4.7 MB, 40 FPS) in this paper. Experimental results on six public salient object detection datasets demonstrate that both models achieve very competitive performance against other 20 state-of-the-art methods in terms of both qualitative and quantitative measures.

Although our models achieve competitive results against other state-of-the-art methods, faster and smaller models are needed for computation and memory limited devices, such as mobile phones, robots, etc. In the near future, we will explore different techniques and architectures to further improve the speed and decrease the model size. In addition, larger diversified salient object datasets are needed to train more accurate and robust models.

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